

Please complete all sections of this form

**PERSONAL INFORMATION**

Title:  Mr.  Mrs.  Ms.

Surname:

All forenames:

Date of Birth (DD/MM/YY):

Nationality:

Full Address:

Country:

Time at current address:

**CONTACT DETAILS**

Telephone Number:

Alternative Telephone Number:

E-mail Address:

**BASE CURRENCY**

Currency denomination	USD	EUR	GBP	CHF	JPY	AUD	PLN
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**FINANCIAL DETAILS**

Approximate Annual Income:

Approximate value of savings / investments:

Anticipated initial deposit with Swissquote Ltd:

**EMPLOYMENT DETAILS**

1. Employment status

employed
  self employed
  unemployed
  retired
  other:

1a. Position and Nature of occupation, if employed:

1b. Are you employed by an FCA or PRA authorised firm and subject to PA dealing rules?

Yes
  No

2. Indicate the source of funds used for your Swissquote Ltd account

employment
  investments
  gift
  other

**KNOWLEDGE AND UNDERSTANDING**

We are required to assess the appropriateness of our services for you, and will rely on the information you provide here in order to do so.

1. Do you understand the nature and risks of trading on margin ?

Yes  No

2. Do you understand how FX and CFD transactions are priced ?

Yes  No

3. Do you have previous experience of trading on an execution only basis ?

Yes  No

4. Have you executed over 10 trades in FX or CFDs in the past 2 years ?

Yes  No

5. Please list any relevant qualifications or work experience you have:

**MARGIN**

Your account will be set at margin of 100:1.

If you wish to amend this you can contact your Swissquote Ltd representative. Please remember that margin trading is high risk, and increasing the leverage on your account may magnify losses as well as potential gains.

**DECLARATIONS**

- I have read, understood and agree to be bound by the Terms of Business, Order Execution Policy, Conflicts of Interest Statement and Risk Warning Notice
- I am over 18 years or age
- I am a regular user of the internet and agree to information being provided to me on-line
- I fully understand the nature and risks of margin trading
- The information provided by me during the application process is true and correct, and I will notify you of any material changes

**DECLARATION OF "NON-US PERSON" OR "US PERSON" STATUS**

Swissquote Ltd ("Swissquote", "we", "us" or "our" where applicable) shall comply with the Agreement between the Government of the United States of America ("USA") and the Government of the United Kingdom of Great Britain and Northern Ireland to improve international tax compliance and to implement FATCA (together with the above-mentioned agreement, the "FATCA Regulations"). Unless special permission is granted, only individuals with "non-US person" status are permitted to open an account with us and in no case a US resident will be able to open an account with us if he/she does not satisfy the conditions of an Eligible Contract Participant as defined by relevant US regulations.

In the above-mentioned context, you confirm that:

- you are a "non-US person", i.e. you are not a US citizen (be it by single, dual or multiple nationalities) and do not have a "resident alien" status (for example you are not holding a "Green Card" and have not been a long-term resident in the USA in the current year and the previous two years). Further, you confirm that you are the beneficial owner of the financial instruments held and the income generated therewith in accordance with US tax law. In the event of an existing double taxation treaty between the USA and your country of residence, you ask for and we grant you, in principle, a reduction of the US withholding tax on income of US origin. In such a case, and depending on the circumstances, we are entitled to ask for additional documentation. We are also entitled to ask for further documentation if US indicia are identified;
  
- you are a "US person", i.e. you are a US citizen (be it by single, dual or multiple nationalities) or you have a "resident alien" status (for example because you are holding a "Green Card" or have been a long-term resident in the USA in the current year and the previous two years). Further, you confirm that you are the beneficial owner of the financial instruments held and the income generated therewith in accordance with US tax law. If you are or become a US person, the FATCA Regulations require that you provide us with a Form W-9. By providing us with a Form W-9, you accept that we shall provide the US tax authorities ("IRS"), directly or indirectly via Her Majesty's Revenue and Customs ("HMRC"), our withholding agents and custodians, or any related parties, with confidential and personal information about you and your accounts with us, such as your identity, name and address, your Tax Identification Number ("TIN"), the account number, the account value and income and gains as well as documents such as IRS forms. You hereby irrevocably consent to such disclosure and fully release us from our obligations of confidentiality and/or data protection under the laws of the United Kingdom or any other applicable law(s) which might otherwise preclude the disclosure of such information ("Consent to disclose").

In the case where you are not the beneficial owner of the financial instruments held and the income generated therewith in accordance with US tax law, you shall inform us and communicate the details about the beneficial owner.

You shall inform us immediately of any change to your "non-US person" status. In such event, the FATCA Regulations require that you provide us with a Form W-9 within 90 days and the above Consent to disclose shall apply in full force upon the receipt of the Form W-9. If no Form W-9 is provided, you will be treated as a recalcitrant account holder with all the related consequences pursuant to FATCA regulations.

Date	Signed